

## **QUESTIONNAIRE B**

**Submitted, under Bank of Greece Governor's Act 2526/8 December 2003,  
by legal entities, prospective shareholders of credit institutions.**

1. Name of the credit institution.
  
2. Registered name, address and scope of business of the applicant legal entity.
  
3. Full names and addresses of the major shareholders of the applicant legal entity. If such shareholders are legal entities, names and addresses of natural persons in control of them, within the meaning of article 2 of Law 2076/1992 and article 8 of Presidential Decree 51/1992, as applicable.
  
4. Full names, addresses and tasks of the board members of the applicant legal entity.
  
5. Registered names, addresses and scope of business of any credit institutions and insurance companies that are affiliated<sup>1</sup> to the applicant legal entity.

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<sup>1</sup> For the purposes of this questionnaire “affiliated/affiliate” shall denote any legal entity in which the applicant legal entity has a holding of 10% or more or with which the applicant legal entity is linked in the sense of article 42e, paragraph 5 of Law 2190/1920.

6. Has the business license of any of your affiliates, as defined here, ever been revoked in Greece or abroad? If so, please state the reasons.
  
7. Has the legal entity or any of its affiliates been denied business license to operate as a credit institution or insurance company or has such business license been revoked in Greece or abroad? If so please state the reasons.
  
8. Have sanctions been imposed on the legal entity or any of its affiliates, as defined here, in Greece or abroad? If so, please provide details.
  
9. Has the legal entity or any of its affiliates been engaged -in Greece or abroad- in any litigation or court settlement that could materially affect financial standing? If yes, please provide details.
  
10. Has the legal entity or any of its affiliates, in Greece or abroad, ever: (a) been declared in a state of bankruptcy, (b) been filed for bankruptcy, (c) been initiated into compulsory administration or receivership, (d) issued any overdraft cheques or had any of its accepted bills of exchange been protested? Please provide details.

11. Does the legal entity or any of its affiliates intend to enter into an agreement or come to an arrangement with the credit institution? If so, please provide details.
  
12. Please specify the number of stocks and the percentage of voting rights in the credit institution held by (a) the board members, (b) the shareholders holding 10% or more of the legal entity's share capital or (c) its 10 largest shareholders.
  
13. Please attach the last three years' financial statements (both non-consolidated and consolidated) of the legal entity and its affiliates, along with the respective reports of audit.
  
14. Please specify the voting rights (in percentages) held on the legal entity's behalf by any third parties, within the meaning of article 7 of Presidential Decree 51/1992, as applicable.

15. Please provide any other information that may facilitate the evaluation of the legal entity's credit-standing and suitability as a shareholder.